

Privacy Policy Disclosure

Confidentiality and security of your personal information is something we take seriously at Sheehan Financial. We will only use your information in relation to the services and products agreed at each engagement.

Plus 4 Financial Services Limited trading as **Sheehan Financial (FSP number 105806)** holds a licence issued by the Financial Markets Authority to provide financial advice.

This privacy policy explains how Sheehan Financial collects, uses, discloses, and protects personal information. We comply with the Privacy Act when dealing with personal information.

A person is not required to provide the personal information that we request, but if that person chooses not to do so, in many cases we will not be able to provide our services.

A key aspect of our business is obtaining and storing client information and other types of data. We need to collect your personal information for the purpose of:

- Evaluating and determining your request for advice.
- Maintaining relevant records and advice reports.

In providing our services you consent and give authority to **Sheehan Financial** to obtain your personal information from or disclose your information to the following parties:

- regulator and external compliance personnel or service providers when implementing any of our recommendations or variations and/or supplying products to you.
- compliance advisers/personnel, product providers whom **Sheehan Financial** have an agreement with, insurance companies, any claims investigators and claims assessors, ACC, financial institutions and any other persons or agencies deemed relevant.
- other professionals such as solicitors, accountants, finance brokers, financial planners when such services are required to complement this advice, and/or as requested by you.
- any other individual or organisation where disclosure is required by law.

In providing our adviser services to you, you consent to your information being used by me, staff members, administrators on our behalf, product providers **Sheehan Financial** have an agreement with, reinsurers and other companies for the purposes for which your information was collected.

The information is held at our offices located at **281 Trafalgar Street Nelson** and on our systems, some of which are cloud based.

Under the **Privacy Act 2020** you have the right to access and correct the information that Sheehan Financial holds about you. **Sheehan Financial** will rely on you to keep us informed of any changes to all your contact details and any other personal information. If you wish to obtain access to or correct your information about you, please contact us by phone or email:

Address: **Level 1, 281 Trafalgar Street Nelson 7010**
Phone: **03 545 8928**
Emailing: **office@plus4.co.nz**

You agree to provide all additional information as requested by us and comply with all reasonable requests from us to facilitate our compliance with AML/CFT Laws.